

Methodology for Calculating Allocations Under Section 314(a)(2)

Coronavirus Response and Relief Supplemental Appropriations Act, 2021

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Of the funds available under the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) for the Higher Education Emergency Relief Fund (HEERF), 7.5 percent (\$1.70 billion) is allocated under section 314(a)(2) for additional awards to eligible institutions under parts A and B of titles III and V and subpart 4 of part A of title VII of the Higher Education Act of 1965, as amended (HEA). The CRRSAA further stipulates that the \$1.70 billion must be allocated across these programs proportionally based on the relative share of funding these programs received in the Further Consolidated Appropriations Act, 2020 (Public Law 116–94). The 11 grant programs that are covered by the named parts of the HEA and which were funded for fiscal year 2020, are described further below.

Allocation Methodology

Strengthening HBCUs and HBCU Masters Programs

Section 314(a)(2)(A) establishes a new formula for distribution of funds to institutions eligible under the Strengthening Historically Black Colleges and Universities (HBCUs) program authorized by part B of title III of the HEA and the HBCU Masters program authorized by subpart 4 of part A of title VII of the HEA. For each of the two funding streams (the \$727.9 million provided for the Strengthening HBCUs program and the \$22.3 million provided for the HBCU Masters program), the formula distributes 70 percent of funding according to each institution’s relative share of Pell Grant recipients in attendance at the end of the school preceding the beginning of the most recent fiscal year, 20 percent according to each institution’s relative share of total students enrolled at the end of that school year, and 10 percent according to an endowment factor using a ratio of the total sum of HBCU endowments to each institution’s endowment.

The Department is delaying award of the 10 percent of funds that must be allocated based on endowment values and will be conducting rulemaking to finalize implementation of this formula factor. We believe rulemaking is necessary, in part, because a number of institutions reported an endowment of \$0, which generates an undefined ratio for them when taking the sum of the endowment values divided by each institution’s endowment value. The rulemaking will allow the Department to address this issue. We will update the methodology and make additional allocations once that process finishes.

HBGIs

As provided under section 314(a)(2)(B), to calculate awards for Historically Black Graduate Institutions (HBGIs) identified in section 326 of the HEA, the Department calculated each institution’s total share of funding provided to HBGIs in the Further Consolidated Appropriations Act, 2020, and applied that percentage to the amount of section 314(a)(2)

funding allocated to the HBGI program (\$188.2 million) to determine each institution's HBGI award.

TCCUs

As provided in section 314(a)(2)(C), the Department used the formula described in section 316(d)(3) of the HEA to calculate awards for eligible institutions under the American Indian Tribally Controlled Colleges and Universities (TCCU) program.

MSI/SIP

For the other seven programs funded under section 314(a)(2) the statute directs the Department to use the allocation formula in section 314(a)(1) of the CRRSAA. This formula methodology is described in detail [here](#). The formula was applied separately to each of the amounts provided for the seven programs (shown in the chart below) to determine allocations for each eligible grantee. Institutions that receive allocations under more than one of these programs will receive a total award that aggregates the amounts the institution is to receive from each program. As under the Department's methodology under section 18004(a)(2) of the CARES Act, the Strengthening Institutions Program (SIP) formula awards are reserved for institutions that are eligible only for SIP. Please see the chart below for more a more detailed explanation.

Eligibility Rules

Eligibility rules for each of the 11 programs are described below:

- **HBCUs:** For the three programs that support Historically Black Colleges and Universities (HBCUs) (the green rows in the chart below), eligible entities are limited to institutions that meet certain specific statutory definitions and that do not receive their own direct appropriation under CRSSAA. The allocations based on the formulas described in sections 314(a)(2)(A) and 314(a)(2)(B) are combined into a single 314(a)(2) grant. The section 314(a)(2) grant amounts awarded to institutions that meet the requirements for these 3 programs do not include amounts from any of the other eight grant programs discussed in the other bulleted paragraphs.
- **TCCUs:** As directed in section 314(a)(2)(C) of the CRRSAA, for the program that supports American Indian Tribally Controlled Colleges and Universities (TCCUs) (the blue row in the chart below), the Department distributed funding to institutions based on the formula described in section 316(d)(3) of the HEA. Section 314(a)(2) grant amounts awarded to TCCUs do not include amounts from any of the other 10 programs discussed in the other bulleted paragraphs. Eligibility to participate in this program is limited to those institutions named in statute (the Equity in Educational Land-grant Status Act of 1995) or that receive funding under the Tribally Controlled Colleges and Universities Assistance Act of 1978.
- **MSIs:** For the six programs in which eligibility is based, in part, on meeting a specific minority enrollment threshold (the orange rows in the chart below), the Department

identified the universe of eligible institutions from the Department's Minority Serving Institution (MSI) Grant Eligibility Matrix 2020. The Eligibility Matrix identifies institutions that have been designated for Fiscal Year (FY) 2020 as eligible under the titles III and V programs and that meet the minority enrollment threshold for particular programs. For the institutions that meet the requirements for more than one program, allocations are combined into a single grant made available under this subsection. The section 314(a)(2) grant amounts awarded to institutions that meet the requirements for these six programs do not include amounts from any of the other five grant programs discussed in the other bulleted paragraphs.

- **SIP:** For the Strengthening Institutions Program (SIP) (the gray row in the chart below), the universe of eligible entities was limited to those institutions that have been designated as an eligible institution in FY 2020 under the titles III and V grant programs under the HEA and that did not receive any section 314(a)(2) funding through any of the other 10 grant programs discussed in the other bulleted paragraphs.

Data Notes

The Department used the Fiscal Year (FY) 2020 Eligibility Matrix because the process for designating eligible institutions for FY 2021 under the titles III and V programs will not be completed until April 2021. Institutions that do not receive funding under section 314(a)(2) but that are designated as eligible institutions through the FY 2021 designation process will have the opportunity to apply for funds under a forthcoming CRRSAA section 314(a)(3) funding opportunity.

As with the HEERF grant program authorized under the CARES Act, and with the funds provided under section 314(a)(1) of the CRRSAA, awards allocated under section 314(a)(2) are generally calculated at the 6-digit OPEID level. Therefore, branch campus enrollment is reflected in the awards of eligible parent institutions. However, these allocations also include awards for the MSIs and SIP institutions that are branch campuses whose parent institutions are not eligible for section 314(a)(2) funding and that submitted the requisite data to receive awards under the funds reserved under section 18004(a)(2) of the CARES Act. The Department did not reserve section 314(a)(2) funds under the MSI and SIP formulas. Please note that section 314(a)(3) of the CRRSAA provides funding that shall be allocated to institutions of higher education that the Secretary determines have, after allocating other funds available under section 314, the greatest unmet needs related to coronavirus. This will include eligible institutions that did not otherwise receive an allocation under section 314(a)(2) of the CRRSAA.

Program	314(a)(2) Funding Level	Eligibility Criteria¹
Strengthening Historically Black Colleges and Universities (Strengthening HBCUs) Program	\$727.9 million	“Part B” institutions as described in section 322(2) of the HEA, excluding institutions receiving their own direct appropriation under CRRSAA
Master's Degree Programs at Historically Black Colleges and Universities (HBCU Master's) Program	\$22.3 million	Institutions named in section 723(b)(1) of the HEA
Strengthening Historically Black Graduate Institutions (HBGIs) Program	\$188.2 million	Institutions named in section 326(e)(1) of the HEA
American Indian Tribally Controlled Colleges and Universities (TCCUs) Program	\$82.1 million	Institutions that qualify for funding under the Tribally Controlled College or University Assistance Act of 1978 or the Navajo Community College Assistance Act of 1978; are cited in section 532 of the Equity in Educational Land Grant Status Act of 1994; or are designated as eligible for funding by the Bureau of Indian Education
Predominantly Black Institutions (PBIs) Program - Formula Grants	\$29.6 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that have enrollment of undergraduate students that is at least 40 percent black American, and that have at least 1,000 undergraduate students
Alaska Native and Native Hawaiian Serving Institutions (ANNH) Program	\$41.1 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that have enrollment of undergraduate students that is at least 20% Alaska Native or 10% Native Hawaiian students
Asian American- and Pacific Islander-serving Institutions (AANAPISI) Program	\$10.0 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that have an enrollment of undergraduate students that is at least 10% Asian American and Native American Pacific Islander
Native American-Serving Nontribal Institutions (NASNTI) Program	\$10.0 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that have an enrollment of undergraduate students that is at least 10% Native American
Developing Hispanic-Serving Institutions (DHSI) Program	\$320.7 million	Institutions that have been designated as eligible in FY 2020 for titles III and V programs and that have an enrollment of undergraduate students that is at least 25% Hispanic
Promoting Postbaccalaureate Opportunities for Hispanic Americans (PPOHA) Program	\$28.8 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that have an enrollment of undergraduate students that is at least 25% Hispanic and that offer a postbaccalaureate program
Strengthening Institutions Program (SIP)	\$241.7 million	Institutions designated as eligible in FY 2020 for titles III and V programs and that did not receive 314(a)(2) grant awards as a result of not meeting the requirements for the Strengthening HBCUs, HBGIs, HBCU Master's, TCCUs, PBIs, ANNH, AANAPISI, NASNTI, DHSI, and/or PPOHA programs

¹ Any reference to requirements established in specific HEA programs do not include those programs' concurrent funding limits or waiting period requirements, which are generally not being applied for the purposes of the section 314(a)(2) grant awards.

OPEID	PR/Award Number	Institution Name	State
02517500	P425K200012	AANIIIIH NAKODA COLLEGE	MT
03066600	P425K200031	BAY MILLS COMMUNITY COLLEGE	MI
02510600	P425K200027	BLACKFEET COMMUNITY COLLEGE	MT
02236500	P425K200013	CANKDESKA CIKANA COMMUNITY COLLEGE	ND
02545200	P425K200049	CHIEF DULL KNIFE COLLEGE, INC	MT
03125100	P425K200028	COLLEGE OF MENOMINEE NATIONS	WI
04224900	P425K200014	COLLEGE OF THE MUSCOGEE NATION	OK
03129100	P425K200033	FOND DU LAC TRIBAL AND COMMUNITY COLLEGE	MN
02343000	P425K200032	FORT PECK COMMUNITY COLLEGE	MT
03461300	P425K200017	ILISAGVIK COLLEGE	AK
01043800	P425K200006	INDIAN AFFAIRS, BUREAU OF	KS
02146400	P425K200009	INSTITUTE OF AMERICAN INDIAN ARTS	NM
04164700	P425K200024	KEWEENAW BAY OJIBWA COMMUNITY COLLEGE	MI
02532200	P425K200008	LAC COURTE OREILLES OJIBWE COLLEGE	WI
03096400	P425K200015	LEECH LAKE TRIBAL COLLEGE, INC.	MN
02286600	P425K200038	LITTLE BIG HORN COMMUNITY COLLEGE	MT
00824600	P425K200048	NAVAJO NATION TRIBAL GOVERNMENT, THE	AZ
02357600	P425K200029	NAVAJO TECHNICAL COLLEGE	NM
02550800	P425K200020	NEBRASKA INDIAN COMMUNITY COLLEGE	NE
02180000	P425K200022	NORTHWEST INDIAN COLLEGE FOUNDATION	WA
02553700	P425K200016	NUETA HIDATSA SAHNISH COLLEGE	ND
01465900	P425K200010	OGLALA LAKOTA COLLEGE	SD
04271800	P425K200037	RED LAKE NATION COLLEGE	MN
03066601	P425K200023	SAGINAW CHIPPEWA INDIAN TRIBE	MI
02143400	P425K200019	SALISH KOOTENAI COLLEGE, INC.	MT
02143700	P425K200047	SINTE GLESKA UNIVERSITY	SD
02277300	P425K200021	SISSETON WAHPETON COLLEGE	SD
02188200	P425K200025	SITTING BULL COLLEGE	ND
02511000	P425K200036	SOUTHWESTERN INDIAN POLYTECHNIC	NM
02610900	P425K200026	STONE CHILD COLLEGE CORPORATION	MT
03784400	P425K200041	TOHONO O'ODHAM NATION, THE	AZ
02301100	P425K200018	TURTLE MOUNTAIN COMMUNITY COLLEGE	ND
02242900	P425K200030	UNITED TRIBES TECHNICAL COLLEGE	ND
03921400	P425K200011	WHITE EARTH TRIBAL & COMMUNITY COLLEGE	MN
03323300	P425K200034	WINNEBAGO TRIBE OF NEBRASKA	NE

Total Award

\$1,458,675
\$1,995,155
\$2,222,184
\$1,478,152
\$1,586,961
\$1,482,851
\$1,871,377
\$1,535,764
\$2,008,094
\$1,259,914
\$4,440,860
\$2,355,222
\$1,140,780
\$1,676,976
\$1,499,398
\$2,535,609
\$5,835,175
\$6,690,515
\$1,414,251
\$3,157,778
\$1,565,305
\$4,839,345
\$1,303,632
\$1,226,893
\$3,365,127
\$2,679,395
\$1,345,038
\$2,029,600
\$3,555,017
\$1,914,602
\$2,084,488
\$3,217,272
\$2,659,357
\$1,307,553
\$1,362,252
\$82,100,567